

PATENT

CERTIFICATE OF ELECTRONIC TRANSMISSION

I hereby certify that this paper (and any other paper referred to as being attached or enclosed) is being transmitted electronically to the U.S. Patent and Trademark Office via the Electronic Filing System (EFS) on the date set forth below.

March 16, 2009
Date

/MDavidGalin/
M. David Galin

Applicant: Stephen Cutler et al.

Serial No.: 10/783,538 Art Unit: 3691

Filed: February 20, 2004

Title: SECURITIES MARKET AND MARKET MAKER ACTIVITY
TRACKING SYSTEM AND METHOD

Examiner: Lalita M. Hamilton

Docket No.: CUTSP0104US

REPLY TO OFFICE ACTION DATED NOVEMBER 20, 2008

Mail Stop: AMENDMENT
Commissioner for Patents
U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Favorable reconsideration of this application is respectfully requested in view of the following amendments and remarks. A petition for a one-month extension of time is being submitted herewith.